

REBALANCING

From the initial creation of an investment strategy through to execution of rebalanced trades, IAS takes care of your Portfolio Management needs. Our new Rebalancing & Trading module allows the user to rebalance portfolios against a user-defined target model allocation. The tool will generate the most tax efficient and lowest cost transactions to accomplish the rebalancing goal. IAS' unique tax modules allow users to combine both qualified and non-qualified accounts together in the same portfolio and rebalance accordingly. A review and edit process is presented prior to the creation of an export file available to be sent to custodians for execution. Final reconciliation can then be processed on these executed trades the following day.

Functions

- Rebalancing
- Security Substitutions
- Tax Loss Harvesting
- Cash Management
- Trade File Generation
- Security for Approvals
- Straight Through Processing of Approved Trades across Multiple Custodians (coming soon)

Features

- Across Account Rebalancing
- Tax Lot Sell Order Preferences
- Flexibility of Account Grouping (Portfolio Models)
- Flexibility of Selecting Portfolio for Rebalancing
- Ability to set Tolerances for Frequent Looking and only Rebalancing when needed or Forcing a Rebalance for Compliance Purposes
- Restricted Securities
- Flexible Ordering of Trades for Reviewing
- Trades can be Modified
- Approvals for Trades are Controlled by Security Module
- Automatically Calculate Fees and Hold Cash over to Cover them
- Manual Cash Set Aside per Account
- Multiple Methods of Specifying Security Preferences for an Asset Class

Benefits

- Leverage Optimized Rebalancing
- Lower Back-Office Labor Costs
- Accelerate Growth by Capturing Higher-End Clients
- Company Wide Consistency
- Quality Control
- Increased Returns for Clients

Factors Considered

- Asset Class Tolerances and Asset Targets
- Tax Loss Harvesting
- Loss Carry Forward
- Trading Costs
- Redemption Costs and Restrictions
- Minimum Buys and Sells
- Sell Preferences
- Asset Location
- Preferred Buys
- Wash Sales (available soon)
- Client Specific Constraints (security exclusion)
- Tradeoffs between Gains, Costs and Out of Balance
- Cash Requirements
- RMD when Unified with Planning
- Tax and Billing Fees when Unified with Planning

The above factors are considered using our Tax Path optimized multi-node end-point analysis.

IAS: The only unified wealth management solution.

For more information please contact: sales@iasoftware.com • 1.800.821.7355